



Overview Other statutory requirements

Starting this module

Submodule 1.4 covers how the proposed scheme and your professional risk management strategies align and intersect with applicable statutory requirements and regulatory processes.

In it, you will place your association in the context of other statutory requirements and regulators. To do this, you will identify which part of the professional standards regulatory environment your association regulates.

Your answers will also inform your response to <u>Module 4 – Professional risk management strategies</u>. Note that Module 4 and its submodules request information on other statutory requirements. You do not need to duplicate that information here, but you can refer to it as needed.

Understanding professional risk management

Your association's professional risk management strategies 'are to apply in addition to other statutory requirements and must not be inconsistent with them'.

See, for example, the Professional Standards Act 1994 (NSW) section 36(3)). To find the corresponding legislation in your state or territory, use the table in the <u>Submodule 1.1 guidance</u>.

Professional risk management in this context means having systems in place to:

- protect consumers of the services that your association's members provide
- continuously improve occupational standards.

This includes mechanisms such as:

- codes (code of ethics, a code of practice, mandatory or voluntary codes)
- consumer risk management
- complaint resolution
- mediation services
- membership requirements (such as initial, annual or ongoing registration, good fame and character)
- disciplining members
- continuing occupational education
- professional indemnity insurance requirements.

Completing the questions

Enter the required information about your association into the Other statutory requirements template.